

Ordered Sets and Bounds 1 Solutions

Determine whether or not the following relations are orders.

1. $(E, \ll)$ where $(S, <)$ is an ordered set, E is a subset of S , and for $x, y \in E$, $x \ll y$ if $x < y$.

Yes! First, if $x, y \in E$, then $x, y \in S$, and so exactly one of $x < y$, $x = y$, or $y < x$ is true. So then exactly one of $x \ll y$, $x = y$, or $y \ll x$ is true.

Second, if $x, y, z \in E$ with $x \ll y$ and $y \ll z$, then this means $x < y$ and $y < z$. Since $<$ is transitive, we get $x < z$, which by definition means $x \ll z$. So $\ll$ is transitive.

Thus, $(E, \ll)$ is an ordered set.

2. $(\mathbb{R}, <_f)$ where $f: \mathbb{R} \rightarrow \mathbb{R}$ with $f(x) = x^2$ and we say $x <_f y$ if $f(x) < f(y)$.

No! Note $-1 \neq 1$ but $f(-1) = f(1)$ since $(-1)^2 = 1^2 = 1$. Thus, none of $-1 <_f 1$, $-1 = 1$, or $1 <_f -1$ are true.

3. $(\mathbb{R}, <_g)$ where $g: \mathbb{R} \rightarrow \mathbb{R}$ with $g(x) = x^3$ and we say $x <_g y$ if $g(x) < g(y)$.

Yes! Note for $x, y \in \mathbb{R}$, exactly one of $x^3 < y^3$, $x^3 = y^3$, or $y^3 < x^3$ is true, since g is injective. Thus exactly one of $x <_g y$, $x = y$, or $y <_g x$ is true.

Also, if $x <_g y$, $y <_g z$, then $x^3 < y^3$ and $y^3 < z^3$, so $x^3 < z^3$, and thus $x <_g z$.

4. $(S, <)$ where S is the set of organisms in an ecosystem and $x < y$ if y eats x .

No! Both parts of the definition of an order fail. For example, deer don't eat rabbits and rabbits don't eat deer, so none of the statements $\text{deer} < \text{rabbit}$, $\text{deer} = \text{rabbit}$, or $\text{rabbit} < \text{deer}$ are true. In addition, it is not transitive, since for example, Barn owls eat mice, and mice eat seeds, but barn owls do not eat seeds.

5. $(S, <)$ where S is the set of people in a family tree and $x < y$ if x is a descendant of y .

No! Siblings are not descendants of each other so they are not comparable (Neither $x < y$ nor $y < x$).

6. $(S_1 \cup S_2, <)$ where $(S_1, <_1)$ and $(S_2, <_2)$ are disjoint ordered sets and $x < y$ if either $x, y \in S_1$ with $x <_1 y$; $x, y \in S_2$ with $x <_2 y$; or $x \in S_1$ and $y \in S_2$.

Yes! Let $x, y \in S_1 \cup S_2$

- If both $x, y \in S_1$, then exactly one of $x <_1 y$, $x = y$, or $y <_1 x$ is true, implying that exactly one of $x < y$, $x = y$, or $y < x$ is true.
- Similarly if both $x, y \in S_2$
- If $x \in S_1$ and $y \in S_2$, then by definition $x < y$ and $y \not< x$, and since $S_1 \cap S_2 = \emptyset$, $x \neq y$.
- Similarly if $y \in S_1$ and $x \in S_2$.

Thus, no matter what case, exactly one of $x < y$, $y < x$, or $x = y$ is true.

For transitivity, suppose $x < y$ and $y < z$.

A similar checking of cases will show no matter where x, y , and z live, $x < z$.

This order is called the sum of orders and the ordered set is sometimes written as $S_1 + S_2$.

7. $(\mathbb{R}^2, <)$ where $(x_1, y_1) < (x_2, y_2)$ if $x_1 < x_2$ and $y_1 < y_2$.

No! Note that under this relation, none of $(1, 3) < (4, 2)$, $(1, 3) = (4, 2)$, or $(4, 2) < (1, 3)$ are true since $1 < 4$ but $2 < 3$.

Determine if the following subsets of ordered sets are bounded above and/or below, and if so, if they have an infimum and/or supremum in that set.

8. $\{(-1)^n \mid n \text{ positive integer}\}$ as a subset of $\mathbb{R}$.

This set is unbounded since for any $x \in \mathbb{R}$ you pick, if $x > 0$, then there exists $n \in \mathbb{N}$ with $n > x$. Then pick $N > n$ even, and so

$(-1)^N = 1 > n > x$. So this set is not bounded above.

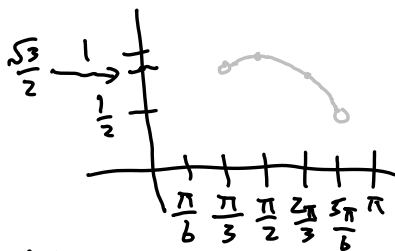
Similarly, you can show it is not bounded below.

9) $\text{Im}f$ as a subset of $\mathbb{R}$, where
 $f: (\frac{\pi}{3}, \frac{5\pi}{6}) \rightarrow \mathbb{R}$ defined by $f(x) = \sin x$.

$\text{Im}f$ is bounded above and below, since for any real number x , $-1 \leq \sin x \leq 1$.

Note $\sup \text{Im}f = 1$ since f achieves its max at $\frac{\pi}{2}$ ($\sin \frac{\pi}{2} = 1$).

From the graph of $\sin x$ on $(\frac{\pi}{3}, \frac{5\pi}{6})$, we can see that $\frac{1}{2} < \sin x$ for every $x \in (\frac{\pi}{3}, \frac{5\pi}{6})$.



Although we don't have the tools to prove it right now,

since $\sin x$ is continuous and $\sin x \rightarrow \frac{1}{2}$ as $x \rightarrow \frac{5\pi}{6}$, this is the greatest lower bound of $\text{Im}f$.

So $\inf \text{Im}f = \frac{1}{2}$.

10) $\{2, 2.2, 2.22, 2.222, \dots\}$ as a subset of $\mathbb{R}$.

Let $S = \{2, 2.2, 2.22, 2.222, \dots\}$

Note $2 \leq x \leq 3$ for every $x \in S$, so this set is bounded above and below.

We have $\inf S = 2$ since 2 is a lower bound of S , and for $a > 2$, a can't be a lower bound of S since $2 \in S$.

On the other hand, $\sup S = 2.\bar{2}$, since we always have $2.22\dots 2 < 2.\bar{2}$, and

$\sup S > 2.\underbrace{22\dots 2}_{k \text{ times}}$ for any k , since

$2.\underbrace{22\dots 2}_{k+1 \text{ times}} \in S$ with $2.\underbrace{22\dots 2}_{k+1 \text{ times}} > 2.\underbrace{22\dots 2}_{k \text{ times}}$

11) $\{2, 2.2, 2.22, 2.222, \dots\}$ as subset of $\mathbb{Q}$.

Again, let $S = \{2, 2.2, 2.22, 2.222, \dots\}$.

Since S is bounded in $\mathbb{R}$, it is also bounded in $\mathbb{Q}$.

Also, note 2 and $2.\overline{2} = 2\frac{2}{9} = \frac{20}{9}$ are both rational, so $\inf S$ and $\sup S$ are in $\mathbb{Q}$.

Ordered Sets and Bounds 2 solutions

1. We stated in the notes today that $\sqrt{2}$ is irrational. Prove it!

Proof: Suppose by contradiction that $\sqrt{2}$ is rational. Then $\sqrt{2} = \frac{p}{q}$ where $p, q \in \mathbb{Z}$ and p and q are relatively prime (their greatest common divisor is 1). Then

$$2 = \frac{p^2}{q^2} \Rightarrow 2q^2 = p^2 \Rightarrow p^2 \text{ is even}$$

$\Rightarrow p$ is even (since if p were odd, then p^2 would be odd.)

So $p = 2m$ for some integer m .

$$\Rightarrow 2q^2 = (2m)^2 = 4m^2 \Rightarrow q^2 = 2m^2$$

$\Rightarrow q^2$ is even $\Rightarrow q$ is even.

So both p and q are even, which implies

2 divides both p and q , contradicting that p and q are relatively prime. Thus, we must conclude that $\sqrt{2}$ is irrational. $\square$

2. Does $\mathbb{R}^2$ with the dictionary order have the least upper bound property? Prove or provide a counterexample.

We claim $\mathbb{R}^2$ does not have the least upper bound property. Indeed, let

$$A = \{ (x, y) \in \mathbb{R}^2 \mid x < 0 \}$$

Then A is bounded above since $(0, 0)$ is an upper bound of A . However, A does not have a least upper bound in $\mathbb{R}^2$. If it did, note that $\sup A$ could not be of the form $(0, y)$ for $y \in \mathbb{R}$, for even though $(0, y)$ is an upper bound of A , so is $(0, y-1)$, and $(0, y-1) < (0, y)$ since $0=0$ and $y-1 < y$.

Thus, $\sup A$ would have to be of the form

(x, y) for $x < 0$. But such a point in $\mathbb{R}^2$ would not be an upper bound of A , since then $(x, y+1) \in A$ and $(x, y+1) > (x, y)$. Thus, no least upper bound exists.

3. Let $A \subseteq \mathbb{R}$ be nonempty and bounded below. Define $-A = \{-x \mid x \in A\}$. Prove that $-A$ is bounded above and $\inf A = -\sup(-A)$.

Proof: Since A is bounded below, $\inf A$ exists in $\mathbb{R}$ and $\inf A \leq x$ for every $x \in A$
 $\Rightarrow -\inf A \geq -x$ for every $x \in A$
 $\Rightarrow -\inf A$ is an upper bound of $-A$.

So $-A$ is bounded above. We claim $-\inf A = \sup(-A)$.

Indeed, if $y < -\inf A$, $\inf A < -y$. Then by definition of infimum, there exists $x \in A$ with $x < -y$. Then $-x \in -A$ with $-x > y$. So y is not an upper bound of $-A$. So $-\inf A$ is the least upper bound of $-A$, i.e.

$$-\inf A = \sup(-A) \Rightarrow \inf A = -\sup(-A). \quad \square$$

4. Let S be an ordered set that has the greatest lower bound property. Prove that S has the least upper bound property.

Proof: The proof is similar to that of Theorem I. Let E be a nonempty subset of S that is bounded above. Let U be the set of all upper bounds of E , which is nonempty since E is bounded above. Then U is bounded below since for every $u \in U$, $x \leq u$ for every $x \in E$ since u is an upper bound of E . Since S satisfies the greatest lower bound property, $\inf U$ exists in S . We claim $\sup E = \inf U$.

Suppose by contradiction $\inf U$ is not an upper bound of E . Then there exists $x \in E$ with $x > \inf U$. By definition of infimum, x is not a lower bound of U . So there exists $u \in U$ with $u < x$. But since $u \in U$, $x \in E$, $u \geq x$, a contradiction! So $\inf U$ is an upper

bound of E .

Second, suppose $x \in S$ with $x < \inf U$.

Since $\inf U \leq u$ for all $u \in U$, $x \notin U$. So x is not an upper bound of E .

So $\inf U$ is the least upper bound of E .
So $\inf U = \sup E$.

Thus every nonempty bounded above subset of S has a supremum in S , so S has the least upper bound property. $\square$

5. Let x be a positive real number and n a positive integer.

(a) Let $A = \{t \in \mathbb{R} \mid t > 0 \text{ and } t^n < x\}$. Show that A has a supremum in $\mathbb{R}$.

Proof: Note A is nonempty since we have:

$$0 < \frac{x}{1+x} < 1 \Rightarrow \left(\frac{x}{1+x}\right)^n < \frac{x}{1+x}. \text{ In addition,}$$

$$\frac{x}{1+x} < x \Rightarrow \left(\frac{x}{1+x}\right)^n < x \Rightarrow \frac{x}{1+x} \in A.$$

In addition, A is bounded above since $1+x$ is an upper bound of A :

If $t > 1+x$ then $t > 1 \Rightarrow t^n > t > 1+x > x$, i.e. $t^n > x$, so $t \notin A$ (This is the contrapositive of the definition of upper bound, which is equivalent.)

Then by Theorem II $\sup A$ exists in $\mathbb{R}$. $\square$

(b) Prove that $b^n - a^n < (b-a)n b^{n-1}$ whenever $0 < a < b$.

Proof: We have $b^n - a^n = (b-a)(b^{n-1} + b^{n-2}a + \dots + a^{n-1})$.

But $0 < a < b$ implies

$$b^{n-2}a < b^{n-2}b = b^{n-1}$$

$$b^{n-3}a^2 < b^{n-3}b^2 = b^{n-1}$$

$$\vdots$$
$$a^{n-1} < b^{n-1}$$

$$\text{Thus } b^{n-1} + b^{n-2}a + \dots + a^{n-1} < \underbrace{b^{n-1} + b^{n-1} + \dots + b^{n-1}}_{n \text{ times}} = n b^{n-1}$$

$$\Rightarrow b^n - a^n < (b-a)n b^{n-1}. \quad \square$$

(c) In the rest of this problem, let $y = \sup A$.

Prove that $y^n \notin x$.

Proof: Assume by contradiction that $y^n < x$. Then

$$x - y^n > 0. \text{ Since } n(y+1)^{n-1} > 0, \frac{x - y^n}{n(y+1)^{n-1}} > 0.$$

Choose $h \in \mathbb{R}$ such that $0 < h < \min\left\{1, \frac{x - y^n}{n(y+1)^{n-1}}\right\}$

Then $0 < y < y+h$, so by part (b),

$$\begin{aligned}
 (y+h)^n - y^n &< hn(y+h)^{n-1} < hn(y+1)^{n-1} \text{ since } h < 1 \\
 &< \frac{x-y^n}{n(y+1)^{n-1}} \cdot n(y+1)^{n-1} \text{ since } h < \frac{x-y^n}{n(y+1)^{n-1}} \\
 &= x - y^n
 \end{aligned}$$

$$\text{So } (y+h)^n - y^n < x - y^n \Rightarrow (y+h)^n < x.$$

Since $y+h > 0$, this implies $y+h \in A$. But then $y \geq y+h$ since $y = \sup A$, a contradiction since $h > 0$. Thus $y^n \notin x$. □

(d) Prove that $y^n \notin x$ and conclude that there exists a positive n^{th} root of x .

Proof: Assume by contradiction that $y^n > x$.

$$\text{Let } k = \frac{y^n - x}{ny^{n-1}}. \text{ Note } y^n < ny^n + x \Rightarrow y^n - x < ny^n$$

$$\Rightarrow \frac{y^n - x}{ny^{n-1}} < y \Rightarrow k < y \Rightarrow y - k > 0.$$

We show $y-k$ is an upper bound of A by showing if $t > y-k$, then $t \notin A$.

$$\text{Indeed, if } t > y-k > 0, \text{ then } t^n > (y-k)^n$$

$$\Rightarrow -t^n < -(y-k)^n \text{ and so}$$

$$y^n - t^n < y^n - (y-k)^n < k n y^{n-1} \text{ by part (b)}$$

$$= \frac{y^n - x}{n y^{n-1}} n y^{n-1} = y^n - x$$

Thus $y^n - t^n < y^n - x \Rightarrow t^n > x$, so $t \notin A$.

Thus $y-k$ is an upper bound of A .

But $k = \frac{y^n - x}{n y^{n-1}} > 0$ since $y^n > x$, so

$y-k < y = \sup A$, a contradiction.

Hence $y^n \neq x$.

Since we also know by (c) that $y^n \neq x$, we must conclude $y^n = x$. In addition, y is positive since $y \geq \frac{x}{1+x} \in A$ which is positive. Thus, y is a positive n^{th} root of x . □

(e) Prove there is exactly one positive real n^{th} root of x .

Proof: Assume by contradiction that y_1 and y_2 are positive n^{th} roots of x with $y_1 \neq y_2$. Then without loss of generality assume $y_1 < y_2$. Then $0 < y_1 < y_2$

which implies $y_1^n < y_2^n$. But $y_1^n = x = y_2^n$, so this implies $x < x$, a contradiction.

This shows at most one positive n^{th} root of x exists, and (d) showed at least one positive n^{th} root of x exists.

Therefore, x has exactly one positive n^{th} root. $\square$